

**CITY OF OAK RIDGE
COMMUNITY DEVELOPMENT BLOCK GRANT
ANNUAL PLAN OF THE CONSOLIDATED PLAN
for
THE PERIOD OF
July 1, 2014 – June 30, 2015**



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Executive Summary

ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

Introduction

The City of Oak Ridge receives CDBG funding administered by the U.S. Department of Housing and Urban Development. The Consolidated plan is the document that the City of Oak Ridge submits to the U.S. Department of Housing and Urban Development (HUD) as a requirement to receive funding for the CDBG program. All CDBG recipients are required to submit a Consolidated Plan every three to five years to HUD for review. These plans identify community-specific housing and non-housing needs and outline strategies for addressing them. The Consolidated Plan is required to address the following HUD National Objective Categories:

- Activities Benefiting L/M Income Persons
- Prevention/Elimination of Slum or Blight
- Urgent Need

The Consolidated Plan is the City's guide for implementation of policies and programs that further HUD national objectives for the CDBG program. CDBG funds can be used for a variety of activities to help low to moderate (L/M) income people and neighborhoods such as housing rehabilitation, public facilities, community services, infrastructure, transitional housing, economic development, code enforcement activities and elimination of blight. The City of Oak Ridge Consolidated Plan for the years 2014-2017 was developed through a public participation process. The City held three public meetings to receive comments and recommendations from citizens, non-profit agencies, faith-based organizations and city staff. The data for this plan is based on a working paper of 2006-2010 Comprehensive Housing Affordability Strategy (CHAS) data provided by the U.S. Department of Housing and Urban Development has released.

The City will submit three Annual Action Plans during the Consolidated Plan period of 2014-2017. Each Annual Action Plan will describe the City's plan for investing CDBG entitlement funds for the upcoming year. The first Annual Action Plan for the City of Oak Ridge's Consolidated Plan 2014-2017 will be for Program Year (PY) 2014/Fiscal Year (FY) 2015.

Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

Through the data collection and public participation processes, the City of Oak Ridge developed priority strategies, objectives, and performance measurements to meet the needs of the homeless, the non-homeless with special needs, and community development initiatives. The City's CDBG entitlement funds for the three-year period of July 1, 2014 through June 30, 2017 support the following initiatives:

- Debt repayment for the Scarborough Community Center expansion project
- Removal of vacant blighted housing
- Infrastructure improvements that provide safety and connectivity within specific areas
- Grant administration
- Matching funds for housing partnerships

The investment activities listed above focus on revitalization efforts that improve the overall quality of life for Oak Ridge residents.

Evaluation of past performance

The City of Oak Ridge achieved all of its objectives over the past three years. The City has eliminated blight, helped homeless persons and persons at risk of becoming homeless, assisted in the provision of housing options for persons with special needs, including the elderly, acquired and demolished structures to remove dilapidated housing, provided code enforcement services to address property maintenance issues, improved public facilities, and supported infrastructure improvements that provide safety, accessibility, and connectivity.

The City has been successful in achieving its goals through community partnerships. Last year, the City contracted with Trinity Outreach Center of Hope (TORCH) to assist the homeless population through rapid rehousing and prevention efforts. TORCH provided emergency services to a number of individuals in Oak Ridge and their services were an invaluable asset to the community. The City also continues to partner with ADFAC through their homeless prevention program, which is funded by City tax dollars. The City also partnered with Aid to Distressed Families of Appalachia (ADFAC) to address property maintenance issues and interior improvements for persons with special needs. Many of the improvements were made in deteriorating neighborhoods, which magnifies the scale of impact for residents.

Recently, the City transferred several parcels of cleared land to the Oak Ridge Land Bank Corporation for revitalization purposes. The Oak Ridge Land Bank Corporation was created pursuant to the authority of the Tennessee Local Land Bank Pilot Program set forth in Tennessee Code Annotated §13-30-101 et seq. By such statute, the Oak Ridge Land Bank Corporation has authority to create a land bank for real property located within the boundaries of Oak Ridge. For purposes of this chapter, "land bank" means real property, however obtained or acquired and held by the Oak Ridge Land Bank Corporation, with the intent of acquiring and holding on the real property so acquired until such time as the corporation is able to find a willing and able buyer to acquire the real property from the corporation.

Summary of citizen participation process and consultation process

The City of Oak Ridge recognizes the importance of citizen participation and includes it as a goal in the CDBG program. Citizens are informed of public hearings, staff meetings, City Council meetings and work sessions, and Fair Housing Month. Information about the CDBG program is also available on the City's website at: <http://www.oakridgetn.gov/department/CommDev/Planning-Division/CDBG-Program>. The Consolidated Plan, Annual Action Plan, (AAP) and Consolidated Annual Performance and Evaluation Report (CAPER) are available for download on the City's website as well. City staff attempts to stay informed about programs funded through other sources, and makes every effort to inform community organizations of this information.

Summary of public comments

The City held two public meetings prior to the 30-day comment period to receive input from the public and a third meeting has been scheduled. The first meeting was held on Wednesday, October 9, 2013 at 5:00 p.m. and was attended by representatives from Habitat for Humanity, Trinity Outreach Center of Hope, and Aid to Distressed Families of Appalachia. The second public meeting was held on Tuesday October 15, 2013 and the attendance mirrors that of the first public meeting. The third meeting has been scheduled on April 24, 2014 at 5:00 p.m. during the 30-day comment period. All public meetings

are published in the Oak Ridger newspaper several days prior to the meeting dates.

While there were not any citizens present at the first two meetings, citizens provided feedback through returned surveys. In summary, citizens rated public facilities/homeless facilities as the community's greatest need. Handicapped services, code enforcement activities and transportation services were also rated high, indicating there is a significant community need for these services. Public facilities were rated as a medium community need. From the survey responses received, citizens emphasized the importance of youth centers, mental health facilities, and tenant landlord counseling services, as well as handicapped services. The same survey responses rated infrastructure development, flood drainage improvements, code enforcement activities, and crime awareness as low community needs.

Summary of comments or views not accepted and the reasons for not accepting them

From the Citizen feedback received, citizens feel that homeless facilities are the community's greatest need. Since the City does not have a homeless shelter in the Oak Ridge city limits, the City recognized the need would have to be addressed indirectly. For this reason, the City allocated its Emergency Solution Grant (ESG) funding of \$50,000.00 to TORCH to provide emergency shelter for homeless persons.

Summary

Preparation of the City of Oak Ridge Consolidated Plan 2014-2017 is a significant project, requiring the support of municipal staff, elected officials, and the public at large. The 30-day comment period for the Consolidated Plan and the Annual Action Plan (AAP), a chapter in the Consolidated Plan, will begin on Friday, April 11, 2014 and end on Monday, May 12, 2014. A public hearing will be held on Thursday, April 24, 2014 at 5:00 p.m. at the Oak Ridge Municipal Building in the Training Room.

Copies of the City of Oak Ridge Consolidated Plan 2014-2017 and the PY2014/FY2015 Annual Action Plan are available for review in the City Clerk's office, in the Community Development Department, and in the Oak Ridge Public Library. Both plans are also available online at: <http://www.oakridgetn.gov/department/CommDev/Planning-Division/CDBG-Program>. Please contact Athanasia Senecal Lewis at asenecal@oakridgetn.gov or 865-425-3574 with any questions about either of the plans.

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

The City of Oak Ridge is the lead agency and local jurisdiction responsible for overseeing the development of the Consolidated Plan and the administration of CDBG entitlement funds. A modified City Manager-Council form of government governs the City of Oak Ridge. This governing body consists of a seven member City Council that elects one of its members as Mayor to serve as the ceremonial head of the City and presiding officer of the City Council. The City Manager and City Attorney are appointed by the City Council.

Development of the Consolidated Plan

Three public meetings were held during the preparation of this Consolidated Plan. Notice was published in *The Oak Ridger newspaper*. The meeting dates and times were:

Wednesday October 9, 2013 at 5:00 p.m.

Tuesday October 15, 2013 at 5:00 p.m.

Thursday, April 24, 2014 at 5:00 p.m.

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
GRANTEE	CITY of OAK RIDGE	

Table 1 – Responsible Agencies

Consolidated Plan Public Contact Information

Copies of the City of Oak Ridge Consolidated Plan 2014-2017 and the PY2014/FY2015 Annual Action Plan are available for review in the City Clerk's office, in the Community Development Department, and in the Oak Ridge Public Library. Both plans are also available online at: <http://www.oakridgetn.gov/department/CommDev/Planning-Division/CDBG-Program>. Please contact Athanasia Senecal Lewis at aseneal@oakridgetn.gov or 865-425-3574 with any questions about either of the plans.

PR-10 Consultation - 91.100, 91.200(b), 91.215(l)

Introduction

The development of the Consolidated Plan involved consultation with public and private agencies that provide assisted housing, health services and social services, including providers to children, elderly people, people with disabilities and their families, and homeless people. Some of the agencies are:

- Aid to Distressed Families of Appalachian Counties, Inc. (ADFAC)
- East Tennessee Family Services
- Ridgeview Psychiatric Hospital and Services, Inc.
- Oak Ridge Neighborhood Watch Captains
- Oak Ridge Housing Authority
- Trinity Outreach Center of Hope (TORCH)
- Tennessee Valley Coalition to End Homelessness (TVCEH)
- League of Women Voters

The City also consults with an internal housing committee that consists of employees from various departments. Employees from Community Development, Finance, Public Works and Legal meet regularly to discuss CDBG program initiatives. On occasion, the committee meets with external stakeholders to discuss partnership opportunities that are mutually beneficial.

General Information

The City of Oak Ridge values its partnerships with area nonprofit organizations, the Oak Ridge Public Housing Authority (ORPHA), the regional Continuum of Care (CoC), state and federal officials, and the public at large. Coordination among the aforementioned entities, in addition to City staff and HUD regional staff, is essential to the community's ability to provide a plethora of much needed services.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

The City is an active member of the Tennessee Valley Coalition to End Homelessness (TVCEH), the lead agency in the regional Continuum of Care (CoC). The City's Community Development Specialist grant attends monthly CoC meetings and serves on additional sub-committees. Coordination with the CoC is invaluable as it provides the City an opportunity to participate in dialog with numerous organizations that are committed to addressing the needs of the homeless population.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

The City of Oak Ridge receives Emergency Solution Grant (ESG) funds, for set-aside communities, from the State of Tennessee and the funds are used to address the needs of the homeless. In recent years, the City has contracted with ADFAC for their homeless prevention program. The City currently has a sub-recipient agreement with Trinity Outreach Center of Hope (TORCH) to use ESG funds for rapid rehousing activities within the Oak Ridge city limits. Rapid rehousing involves activities that place clients in stable housing. Examples of assistance provided by the ESG program are payments for rent, deposits, mortgage

and utilities. TORCH records the number of clients they serve on a monthly basis and this information is available for monitoring purposes.

TORCH is a member of the Tennessee Valley Coalition to End Homelessness (TVCEH), and they have the required Certification of Participation with Continuum of Care, certifying that their application is aligned with the Continuum of Care’s strategies for preventing and ending homelessness and creating housing stability. More information about TORCH is available at: <http://www.oakridgetorch.org/our-story/>.

TORCH acknowledges that agencies using ESG grant funds to provide services must coordinate with other agencies by entering information into the Homeless Management Information System (HMIS). Among other benefits, the HMIS helps prevent the duplication of services. The TVCEH provides TORCH quarterly monitoring reports for data entered in the HMIS system. As an ESG grantee, the City reviews the monitoring reports to verify program compliance. Toward the end of the program year, the City will meet with TORCH to discuss the success of their program and determine a path forward.

Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

In addition to holding three public meetings, the City of Oak Ridge met with ADFAC, TORCH, Habitat for Humanity, and the Oak Ridge Land Bank Corporation to discuss community development programs in Oak Ridge. Discussions focused on the following:

- Acquisition and demolition of blighted properties and its impact in neighborhoods
- Partnerships to rehabilitate deteriorated structures in neighborhoods
- Homeless prevention activities and the impacts on the community
- Rapid rehousing activities
- Transfer of select properties to the Oak Ridge Land Bank Corporation for revitalization purposes

Identify any Agency Types not consulted and provide rationale for not consulting

The City advertised all three public meetings in the local newspapers and on the City’s website. The City also notified the Continuum of Care (CoC) about the planning phase of the Consolidated Plan.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Emergency Solutions Grant (ESG)	City of Oak Ridge	Homeless services and prevention

Table 2 – Other local / regional / federal planning efforts

PR-15 Citizen Participation

Introduction

The City of Oak Ridge is an entitlement jurisdiction receiving Community Development Block Grant (CDBG) funds directly from the U.S. Department of Housing and Urban Development (HUD). Pursuant to the CDBG program regulations, the City is required to prepare a Consolidated Plan at least every five years, or the City may choose to prepare a three-year plan. The City is also required to prepare one-year Annual Action Plan of the Consolidated Plan and a Consolidated Annual Performance Evaluation Report (CAPER).

The Citizen Participation Plan (CPP) establishes the City of Oak Ridge's policies and procedures for citizen participation in the development of the CDBG Consolidated Plan, Annual Action Plans, including any subsequent amendment(s) and the CAPER. The CPP provides an opportunity for nonprofit service agencies and the community to work in partnership with the City to identify needs and allocate the CDBG funds. The CPP encourages participation from all citizens. Preparation of the three-year Consolidated Plan and the one-year Annual Action Plans include the involvement of community development staff, an internal housing Committee, the City Manager and City Council. The City's CDBG administrator is Athanasia Senecal Lewis, Community Development Specialist. The CDBG program administrator records the CDBG housing committee recommendations, tracks CDBG expenditures and serves as the City's contact person for the CDBG program.

The CDBG housing Committee is comprised of the City's CDBG program administrator and representatives from various City departments. This committee serves as an advisory group to the City Manager and City Council on planning, implementation and assessment of CDBG programs/activities through the following tasks:

- Collection of citizen input with respect to neighborhood/community needs
- Consultation with potential CDBG sub-recipients during the application process
- Consultation with internal housing committee and senior management to discuss community goals
- Committee Review of applications and proposed projects
- Staff recommendation to the City Manager for City Council's approval
- Review of progress on projects/programs

The CDBG housing committee provides program and funding recommendations to the City Manager for City Council's review and approval. The City Council is responsible for executing the procedures established in the CPP. The City Council makes the final determination about the priority of various community needs each year when allocating CDBG funds through the approval of the one-year Annual Action Plan.

The City of Oak Ridge staff and elected officials recognize that CDBG entitlement funds are taxpayer money returned to the City to be used primarily to benefit extremely low, low and moderate-income residents. City staff and elected officials are stewards of this public money and will openly discuss all records, except for those confidential records protecting a household's privacy. The City of Oak Ridge presents the following CPP, in accordance with 24 CFR Parts 91, et.al.

Citizen Participation Plan (CPP)

The City of Oak Ridge provides opportunities for citizens, public agencies, and other interested parties, including citizens directly affected, adequate information on the CDBG program, including the anticipated amount of CDBG funds that are available for the current fiscal year and the range of activities that the City may undertake with the funds. Citizens are provided the opportunity to submit comments to the City on any of the identified housing and community development needs, the amount of funds received, and the activities undertaken to assist with the identified needs.

The City has increased its citizen participation since the 2011-2014 Consolidated Planning process. To date, the City has received sixteen needs assessment survey responses from the public. The responses indicate that citizens believe that homeless facilities are a significant community need. Since the City does not own or operate a homeless shelter within the Oak Ridge city limits, homeless needs are being addressed indirectly, through the use of Emergency Solutions Grant (ESG) funding.

There are numerous subsidized rental complexes, an abundance of voucher rental properties and other available rental properties. The City of Oak Ridge has chosen to not use CDBG funding or other funding for rental properties.

Access to Information

As noted in the CPP, the previous section, citizens, public agencies, and other interested parties, including citizens directly affected, will be provided adequate information on the CDBG program, including the anticipated amount of CDBG funds that will be available for the current fiscal year and the range of activities that the City may undertake with the funds. They also will be provided the opportunity to review and submit comments on any of the identified housing and community development needs, the amount of anticipated funds and the proposed activities under consideration.

Anti-displacement/Relocation – Federal and/or State Funding

In the event that any residential displacement and relocation must take place in order to carry out a CDBG program activity, or any project that utilizes federal and/or state funding, the City of Oak Ridge ensures that it will develop an Anti-displacement and Relocation Plan in connection with that project as applicable per Federal regulations. This will include compliance with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended and implementing regulations of 49 CFR part 24.

Anti-displacement/Relocation – Local Funding

The following policy may be used for residential displacement and relocation of Oak Ridge residents if the project uses only local funds.

CITY OF OAK RIDGE, TENNESSEE LOCAL RELOCATION ASSISTANCE POLICY

Purpose

The City of Oak Ridge Relocation Assistance Policy for has been developed to assist residents living in rental units within the city limits with assistance to move from their rental units due to redevelopment efforts. This policy is to be considered a local policy and will be utilized in the event no Federal or State funding is involved in the project that causes residents to be relocated.

Definitions

1. City refers to the City of Oak Ridge.
2. Authorized Administrator is any private or governmental agency, which administers the relocation policy to eligible clients living within the city limits.
3. Bona fide Resident is a person, eighteen years of age or older who is listed on the City of Oak Ridge utility records with corresponding proof of a valid lease and has been living in the affected rental unit for one year prior to the notice to vacate the unit.
4. Displacement is when tenants are forced to move from their rental units because of action taken by the City.
5. Eligible Tenant is a tenant that applies for assistance who meets the eligibility requirements.
6. Relocation Assistance is money and/or other support to help displaced tenants find a new place to live.

Displacement

Displacement occurs when tenants are forced to move from their rental units because of action taken by the City. The reasons to order a tenant to move include but may not be limited to the following:

1. The building is to be boarded up and/or torn down with government approval.
2. The landlord is ordered by the housing and/or building inspector to make repairs that cannot be made unless the tenants move
3. The landlord has allowed more people to live in a unit than the law allows, or the landlord has made a separate apartment out of a part of the building, such as an attic or a basement, that is not legal to rent
4. The building is being taken over by the City to be used to build a school playground, a highway, a neighborhood renewal program, or some other public project
5. The landlord is not allowed to rent the apartment because of zoning laws.

Relocation Assistance

Relocation assistance is money and/or other support services to help displaced tenants find a new place to live. Tenants that are displaced may or may not receive relocation assistance. Monetary relocation assistance shall be limited to \$500 per Eligible Tenant. Available relocation assistance shall be limited to the following:

1. Money for temporary housing until the tenant finds a permanent home, if the City and/or the Authorized Administrator forces the tenant to move out immediately because of an emergency. This is limited to \$500 per Eligible Tenant.
2. A payment to assist with the Eligible Tenant's actual moving costs. This is limited to \$500 per Eligible Tenant and requires receipts for the actual moving costs.
3. A dislocation payment to the Eligible Tenant's dislocation, which includes an allowance of \$200 and a fixed moving payment of \$300. The payment does not require receipts.

4. Help by the City, Authorized Administrator and other partners to locate a new, affordable place for the Eligible Tenant to live.

Eligibility

Eligible Tenants are applicants that request relocation assistance and meet the following minimum requirements:

1. Bona-fide resident of Oak Ridge which requires the following:
 - a. Eighteen years of age or older
 - b. Listed on the City of Oak Ridge utility records for the affected unit being vacated
 - c. Utility account with the City of Oak Ridge shall be in good standing with any past-due balances being paid in full by the date of application for relocation assistance
 - d. Proof of a written lease for the unit being vacated with the name listed on the lease being the same name as listed on the City of Oak Ridge utility records
 - e. Lived in the affected rental unit for 12 months consecutively and prior to the date of notice to vacate
2. Proof of legal residence within the United States of America.
3. If a tenant has been convicted for drug and/or alcohol violations within the previous twelve months (12) from the date of notice to vacate, they must provide proof they are currently or have been receiving treatment for this condition.

Authorized Administrator

The Relocation Assistance Policy for the City of Oak Ridge allows the City to contract with a qualified agency to administer the relocation policy. This qualified agency will be the Authorized Administrator of the policy. With approval and assistance by the City, the Authorized Administrator will be responsible for determining Displacement has occurred, determining tenant Eligibility and providing Relocation Assistance.

Plan Publication

Summaries and/or complete copies of the Consolidated Plan, Annual Action Plans, and substantial amendments to either document or the CAPER will be available to the public for free upon written request to the CDBG program administrator.

Public Hearings

The City of Oak Ridge will schedule and hold at least three (3) Public Hearings each year to solicit citizen comments on the Consolidated Plan, Annual Action Plans and CAPER. Although held at different stages of the program year, these public hearings will address housing and community development needs, proposed activities to assist with the needs, and program performance. These hearings will be held at times and locations that are convenient to both potential and actual beneficiaries. The hearings will be scheduled separately from the City Council meetings that require approval of CDBG activities.

The schedule for the Public Hearings is:

Needs Assessment	October 2013
Consolidated Plan and/or Annual Action Plan	April 2014
CAPER	September 2014

Notice of Public Hearings

Adequate advance notice of each public hearing will be provided to all of Oak Ridge’s citizens. At least two (2) weeks prior to a public hearing a notice will be published in a local newspaper. The notice will include sufficient information on the hearing, including the purpose, date, time, and location. A notice will be displayed in a public area within the following city facilities:

Municipal Building Courtroom	Public Library
Recreation Center	Senior Center
Scarboro Community Center	Scarboro Center

Access to Meetings

All meetings and public hearings related to the CDBG program will be held in locations accessible to those with physical disabilities and upon notice and in compliance with the American with Disabilities Act, the City will attempt to provide for individuals needing special accommodations (including auxiliary communicative aids and services) during the hearings.

Public Comments

In preparing the Consolidated Plan, Annual Action Plans and CAPER, the City of Oak Ridge will consider the views of its citizens, public agencies and all other interested parties. Public comments will be accepted for at least 30 days for the Consolidated Plan and/or Annual Action Plans prior to submission of the documents to HUD for review and approval. All citizen comments provided to the City either orally or in writing will be considered prior to City Council approving the Consolidated Plan and/or Annual Action Plans.

Public comments will be accepted for at least 15 days for the CAPER prior to submission of the document to HUD. A summary of these citizen comments will be included in the CAPER prior to submitting the document to HUD.

If a major project, such as the construction or expansion of a public facility, uses CDBG funds, additional public meetings will be held along with the acceptance of public comments, specific to the project.

All Oak Ridge City Council agendas include the opportunity for citizen comments that are not related to an agenda item. Citizens could use this forum to express their comments concerning the City’s CDBG program. All Oak Ridge City Council members have email addresses that are accessible from the City’s website at www.oakridgetn.gov.

Substantial Amendments

Once approved by City Council and HUD, the City of Oak Ridge may amend the Consolidated Plan and Annual Action Plans if the need arises. Any amendments to the Consolidated Plan and/or Annual Action Plan shall include a 30-day public comment period. The City will give reasonable notice and an opportunity for citizen to comment on proposed amendment(s). All comments provided to the City,

either orally or in writing, will be considered prior to City Council approval of the amendment(s). A summary of the citizen comments and the City's response with respect to their acceptance will be included with the substantial amendment(s) document prior to submission to HUD.

The City of Oak Ridge will amend the approved Consolidated Plan whenever a decision is made to propose a substantial change in funding priorities and/or a substantial change in the method of the distribution of funds. A "substantial change" is defined as a change equal to or in excess of 50% of the City's CDBG entitlement funds for the current program year.

The City of Oak Ridge will amend an approved one-year Annual Action Plan whenever one of the following decisions is made:

- to carry out an activity that was not included or approved in the one-year Annual Action Plan;
- to cancel an activity, prior to the end of the plan year, that was included and approved in the one-year Annual Action Plan;
- to increase or decrease the amount to be expended on a particular activity from the amount stated in the one-year Annual Action Plan by more than 50%;
- to change the purpose, scope, specific location or beneficiaries of an activity that was included and approved in the one-year Annual Action Plan.

Performance Reports

The City of Oak Ridge will submit a Consolidated Annual Performance Evaluation Report (CAPER) to HUD on the City's CDBG funded projects outlined in each one-year Annual Action Plan. Like the Consolidated Plan and the Annual Action Plans, citizens will be provided with an opportunity to comment on the CAPER. Prior to submission of the CAPER to HUD, all citizens will be provided a fifteen (15) day comment period. Any citizen comments provided either orally or in writing to the City will be considered prior to submission of the report and a summary of the citizen comments will be included with the report.

Plan Availability and Access to Records

The City of Oak Ridge Consolidated Plan, Annual Action Plans, substantial amendment(s) to either document or the CAPER will be made available to the public when they are developed and during the public comment periods. Once submitted and/or approved by City Council and HUD, the documents will be available on the City's website, www.oakridgetn.gov CDBG icon and to any interested party, upon written request to:

City of Oak Ridge
Municipal Building
Community Development Department 200 S.
Tulane Avenue
Oak Ridge, TN 37830 Athanasia
Senecal Lewis
asenecal@oakridgetn.gov

Technical Assistance

When needed, the City of Oak Ridge will offer technical assistance directly to groups representing low-to-moderate income persons, neighborhood organizations, and community organizations. The City may also refer these groups to a competent local organization that can provide such assistance. The City's technical assistance contact for the CDBG entitlement program is: Athanasia Senecal Lewis, Community Development

Specialist in the Community Development Department at asenecal@oakridgetn.gov.

Complaints

Written complaints from citizens with respect to the Consolidated Plan, one-year Annual Action Plans, any amendments, and the CAPER will receive a response from the City within thirty (30) days, if practicable Fair Housing Strategy

The City of Oak Ridge staff and officials will implement the recommendations of the March 2011 Analysis of Impediments to Fair Housing in Oak Ridge which include but are not limited to the following activities:

- Approve a City Council Proclamation for Fair Housing Month
- Issue a press release for Fair Housing Month
- Appointment of citizens to the Board of Housing Code Appeals
- Address emergency housing needs
- Support affordable housing programs
- Increase staff training of fair housing issues
- Utilize traditional and non-traditional means to educate citizens of the fair housing laws and policies
- Include a fair housing message on the City's web site and distribute fair housing information.

Any proposed significant changes to the fair housing strategy will be published in the applicable Annual Action Plan so that citizens and subrecipients may comment on the proposed changes.

Specific Fair Housing actions for PY2014/FY2015 include:

- Oak Ridge City Council is to proclaim April 2014 as Fair Housing Month
- During the month of April, the City will place a message on the utility bills that will reach more than 14,000 customers
- A display notice will be placed in a local newspaper during April
- The City's Board of Housing Code Appeals is tasked by City Council with addressing housing discrimination charges
- The City of Oak Ridge requires all subrecipients to promote Fair Housing with all of their clients

Annual Action Plan FY14/FY15: Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Summary of Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Emergency Solutions Grant (ESG)	HUD	Homeless Prevention	\$52,492.00	0	\$62,791	\$52,491.00	\$100,00.00	The City contracted with TORCH to support their homeless prevention program (rapid rehousing activities within the City of Oak Ridge)

Table 43 - Expected Resources – Priority Table

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Introduction

The regulations for the CDBD Consolidated plan require an explanation of whether the cost of housing or the incentives to develop, maintain or improve affordable housing are negatively affected by public policies, including tax policies, land use controls, zoning ordinances, building codes, fees and charges, growth limits and policies that affect the return on residential development.

Historically, the City of Oak Ridge has been actively involved in issues concerning the housing market. The adoption and enforcement of zoning and subdivision regulations and building codes has shaped the growth of neighborhoods and influenced the design, quality, and cost of the community's housing stock. The Community Development Department administers these policies, which have made Oak Ridge a planned and livable city. During the last several years, City of Oak Ridge officials and staff have been determined to reduce the perception that these regulations and policies are detrimental to residents and residential developers. These policies and the method to reduce the barriers to affordable housing include:

- Building codes and standards – The City of Oak Ridge has adopted the 2013 International Building Code and International Residential Code as published by the International Code Council.
- Building permits and fees – The City of Oak Ridge has an administrative policy D-200 titled Fees and Municipal Services and Supplies. Section 2.110, Affordable Housing Fee Adjustments eliminates any zoning fees, moving fee and demolition fee and reduces to \$25.00 the permit fees. Item a, of Section 2.110 will be updated to reference the CDBG target areas utilizing data from the most recent U.S. Census.
- Property tax abatement – City Council approved a resolution authorizing a local option property tax freeze program for taxpayers 65 years of age or older based on income and ownership of eligible property and other guidelines as set forth in the resolution.
- Lack of knowledge of available programs and resources – The City of Oak Ridge will continue efforts to communicate information concerning available programs and resources through the website and newspaper notices and articles.
- Two barriers to affordable housing seem to be nationwide trends. The private housing market does not generally provide new affordable housing without some type of subsidy or incentive and older housing units that are affordable often have physical problems that make the units ineligible for financing from private lending institutions.

In addition to these barriers, many older and affordable homes in Oak Ridge available to low or moderate-income residents require some type of financing or subsidy when purchasing a home, which includes inspection of the home prior to purchase. Methods to address the barriers to affordable housing that are stated above include:

- Use of innovative approaches and cooperative partnerships with other public agencies and private organizations to meet the need for new affordable housing.
- Promote housing rehabilitation programs for older homes so that an increased number of houses meet the 2003 International Property Maintenance Code.

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Debt payment for Scarboro Center	July 2014	June 2015	Non-housing community development, non-homeless special needs	148 Carver Avenue (MDO Area)	L/M	Estimated \$95,000.00	Provide public facility improvements in a low to moderate income area
2	Removal of vacant blighted housing	July 2014	June 2015	Acquisition and demolition -- community development	Within the Oak Ridge city limits	L/M	Estimated \$363,000.00	Improvement, stabilization, and revitalization of neighborhoods in the MDO district
3	Right of way infrastructure	July 2014	June 2015	Non-housing community development, non-homeless community development	Within the Oak Ridge city limits	L/M	Estimated \$35,000.00	Provide infrastructure improvements in areas that benefit low to moderate income persons
4	Grant administration	July 2014	June 2015	Non-housing community development	200 S. Tulane Ave	L/M	Estimated \$5,911.00	Provide training for CDBG administrator to ensure compliance with program-related HUD regulations
5	Matching funds for housing partners	July 2014	June 2015	Non-homeless special needs, non-homeless community development, affordable housing	Within the Oak Ridge city limits	L/M	To be determined	Provide match to partners for rehabilitation of residential (low to moderate)

Table 44 – Goals Summary

Projects

AP-35 Projects – 91.220(d)

Projects

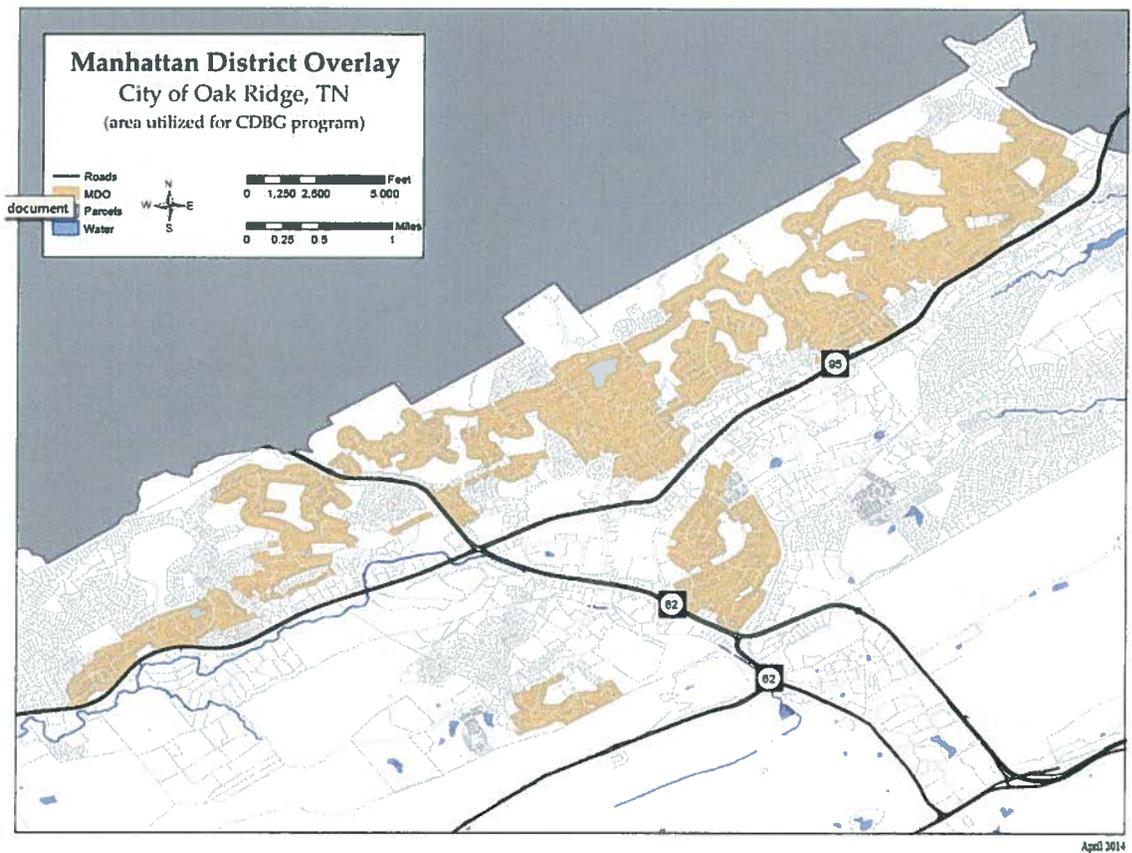
#	Project Name
1	Debt payment for Scarboro Center
2	Removal of vacant blighted housing
3	Right of way infrastructure
4	Grant administration
	Matching funds for housing partners

Table 45 – Project Information

AP-50 Geographic Distribution – 91.220(f)

The Manhattan District Overlay (MDO) is a historic district built by the Federal Government to house employees and their families during the Manhattan Project. These units were not intended to be permanent residential structures, but as the Project came to a close, the Federal Government sold all housing units to willing buyers. To date, many of these structures are still occupied and have had few improvements. The MDO area includes the following neighborhoods: Highland View, Scarboro, East Village and Woodland.

This area is in the north central part of the city, much of which is along a ridge known as Black Oak Ridge. The borders for this area are Outer Drive and West Outer Drive to the north and much of the housing south to Oak Ridge Turnpike (but not all). The eastern border is East Drive at the eastern city limits. The western border at Outer Drive is Jefferson Avenue. There is also a portion to the west that runs between Robertsville Road and Oak Ridge Turnpike. The southern portion also includes the Woodland Neighborhood, which is between Lafayette Drive to the east and South Illinois Avenue to North and South Purdue Avenue. Manhattan Avenue bisects this area.



Affordable Housing

AP-55 Affordable Housing – 91.220(g)

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

Table 46 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	13
Total	13

Table 47 - One Year Goals for Affordable Housing by Support Type

AP-60 Public Housing – 91.220(h)

Introduction –

Residents of the City of Oak Ridge are served by the Oak Ridge Housing Authority (ORHA), which was incorporated September 5, 1969 under the “Housing Authorities Law” Chapters 8 through 11 Title 13 TCA Sections 801 through 1113.

PY2014/FY2015 will be the fourth year of the ORHA Five-Year Agency Plan. During the preparation of the Annual Action Plan, the ORHA submitted an update of their Agency Plan to the Community Development Specialist. This plan, dated Summer 2013, provides specific information about the programs administered by ORHA. Please refer to the Public Housing Section of the Consolidated Plan for more information.

In summary, the ORHA Five-Year Agency Plan, and the 2013 update encourages public housing residents to be involved with ORHA and promotes self-sufficiency for homeowners.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

City staff has requested the information for this table from the Tennessee Valley Coalition to End Homelessness (TVECH), the lead agency for the Continuum of Care. As of April 9, 2014 this information has not been provided. Staff will add this information as long as it is received prior to City Council approval on May 12, 2014.

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Counseling/Advocacy	Yes	Information Requested from TVECH	Information Requested from TVECH
Legal Assistance	Yes	Information Requested from TVECH	Information Requested from TVECH
Mortgage Assistance	Yes	Information Requested from TVECH	Information Requested from TVECH
Rental Assistance	Yes	Information Requested from TVECH	Information Requested from TVECH
Utilities Assistance	Yes	Information Requested from TVECH	Information Requested from TVECH
Street Outreach Services			
Law Enforcement	Yes	Information Requested from TVECH	Information Requested from TVECH
Mobile Clinics (Free Clinic)	Yes	Information Requested from TVECH	Information Requested from TVECH
Other Street Outreach Services	Unknown	Information Requested from TVECH	Information Requested from TVECH

Supportive Services			
Alcohol & Drug Abuse	Yes	Information Requested from TVECH	Information Requested from TVECH
Child Care	Unknown	Information Requested from TVECH	Information Requested from TVECH
Education	Yes	Information Requested from TVECH	Information Requested from TVECH
Employment and Employment Training	Unknown	Information Requested from TVECH	Information Requested from TVECH
Healthcare	Yes	Information Requested from TVECH	Information Requested from TVECH
HIV/AIDS	Unknown	Information Requested from TVECH	Information Requested from TVECH
Life Skills	Yes	Information Requested from TVECH	Information Requested from TVECH
Mental Health Counseling	Yes	Information Requested from TVECH	Information Requested from TVECH
Transportation	Yes	Information Requested from TVECH	Information Requested from TVECH

Table 48 - Homeless Prevention Services Summary

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

The regulations for the CDBD Consolidated plan require an explanation of whether the cost of housing or the incentives to develop, maintain or improve affordable housing are negatively affected by public policies, including tax policies, land use controls, zoning ordinances, building codes, fees and charges, growth limits and policies that affect the return on residential development.

Historically, the City of Oak Ridge has been actively involved in issues concerning the housing market. The adoption and enforcement of zoning and subdivision regulations and building codes has shaped the growth of neighborhoods and influenced the design, quality, and cost of the community's housing stock. The Community Development Department administers these policies, which have made Oak Ridge a planned and livable city. During the last several years, City of Oak Ridge officials and staff have been determined to reduce the perception that these regulations and policies are detrimental to residents and residential developers. These policies and the method to reduce the barriers to affordable housing include:

- Building codes and standards – The City of Oak Ridge has adopted the 2012 International Building Code and International Residential Code as published by the International Code Council.
- Building permits and fees – The City of Oak Ridge has an administrative policy D-200 titled Fees and Municipal Services and Supplies. Section 2.110, Affordable Housing Fee Adjustments eliminates any zoning fees, moving fee and demolition fee and reduces to \$25.00 the permit fees. Item a, of Section 2.110 will be updated to reference the CDBG target areas utilizing data from the most recent U.S. Census.
- Property tax abatement – City Council approved a resolution authorizing a local option property tax freeze program for taxpayers 65 years of age or older based on income and ownership of eligible property and other guidelines as set forth in the resolution.
- Lack of knowledge of available programs and resources – The City of Oak Ridge will continue efforts to communicate information concerning available programs and resources through the website and newspaper notices and articles.
- Two barriers to affordable housing seem to be nationwide trends. The private housing market does not generally provide new affordable housing without some type of subsidy or incentive and older housing units that are affordable often have physical problems that make the units ineligible for financing from private lending institutions.

In addition to these barriers, many older and affordable homes in Oak Ridge available to low or moderate-income residents require some type of financing or subsidy when purchasing a home, which includes inspection of the home prior to purchase. Methods to address the barriers to affordable housing that are stated above include:

- Use of innovative approaches and cooperative partnerships with other public agencies and private organizations to meet the need for new affordable housing.
- Promote housing rehabilitation programs for older homes so that an increased number of houses meet the 2012 International Property Maintenance Code.

AP-85 Other Actions – 91.220(k)

Introduction

City of Oak Ridge staff and officials, non-profit organizations, businesses, faith-based organizations, neighborhood groups and citizens are all vital partners in the housing and community development delivery system.

The City of Oak Ridge uses a committee approach instead of assigning one staff member and/or one department with full responsibility for the CDBG program. The City's internal housing committee reviews all CDBG program activities and makes recommendations to the City Manager for his evaluation and prioritization to City Council.

The City of Oak Ridge uses CDBG entitlement funds, Emergency Solutions Grant (ESG) set-aside funds and city general fund dollars to assist with the strategies of the CDBG program. Leadership begins with the City's elected officials with the approval of the Annual Action Plan activities.

Effective program delivery would not be possible without the coordination of Federal, State and local partners. The City of Oak Ridge staff and officials rely on the area non-profit organizations, state and federal officials to provide insight with respect to the needs of our residents.

The non-profit organizations in our community are vital partners for the delivery of services and programs for Oak Ridge residents. The organizations work well together and are a valuable network of resources for Oak Ridge residents. City staff will continue to be an active participant with the area Continuum of Care, TVECH.

The Knoxville HUD office is also a valuable resource, providing advice and assistance with statutory and regulatory requirements, technical assistance and training for the CDBG entitlement program for City of Oak Ridge staff and officials. The HUD office also helps with marketing and outreach efforts to promote community development and affordable housing for the region.

Actions planned to reduce lead-based paint hazards

Childhood lead based paint poisoning is a significant problem nationally. On September 15, 2000, HUD regulation (24 CFR Part 35) took effect streamlining, modernizing and consolidating all lead-based paint requirements in federally- assisted housing to ensure that children are adequately protected from lead poisoning. The regulation prescribes certain action by HUD grantees to identify, stabilize or remove lead-based paint hazards in any housing receiving HUD assistance.

The rule only affects residential structures built before 1978. The scope of activities required by HUD is dependent upon the type of housing impacted and the amount of federal assistance being provided, with rehabilitation activities using in excess of \$25,000 of HUD funds requiring the highest level of treatment.

The City of Oak Ridge includes a detailed and strict requirement in the CDBG subrecipient agreements pertaining to the treatment of lead-based paint. The article of the subrecipient agreement specifies the Lead-Based Paint Poisoning Prevention Act and the HUD Lead-Based Paint Regulations. The City of Oak Ridge also verifies with all subrecipients that information concerning lead-based paint hazards is provided to their clients.

Rehabilitation projects by ADFAC are the most affected by the HUD ruling. ADFAC assumes that all units built prior to 1978 have lead-based paint, so all contractors must use safe work practices. Once the project is complete, each unit is required to be inspected and given clearance, utilizing HUD specified standards. ADFAC provides all of their client's detailed information regarding lead-based paint and its possible effects to children, elderly and others in the household.

Matt Widner, the Housing Remediation Specialist, is certified as an EPA Lead Inspector. He is certified to conduct all lead-based paint initial inspections and is working on additional certifications for risk analysis.

The City of Oak Ridge and partners will continue to address the hazards of lead-based paint in the activities receiving HUD funding, in compliance with the prescribed regulations and for the health, safety and welfare of our citizens.

Actions planned to reduce the number of poverty-level families

The City of Oak Ridge recognizes that it has little control or influence on the most critical factors related to poverty such as wages, family disruptions, health problems and mental illness, social responsibility and employment opportunities. The City's primary tools to address poverty are limited to tax policies, social service programs, housing assistance and economic development programs. When these are combined with the provision of life safety programs such as police and fire protection and the construction and maintenance of city streets, parks and utilities, they help create the kind of environment where citizens can concentrate on working and making a living.

The City, in conjunction with other public agencies and private organizations, will seek to provide very low- and low-income households with various opportunities to gain the knowledge, skills and motivation to become fully self-sufficient. Specifically, the City of Oak Ridge will

continue to pursue resources and innovative partnerships to support the development of affordable housing, rental assistance, homeless prevention, health services and transportation all with the intent of providing the direction to build a community empowered to provide quality of life for all its citizens.

Actions planned to develop institutional structure

A requirement of the Annual Action Plan is to describe the organizations that assist the City of Oak Ridge to provide the housing and community development-related programs. Institutional structure is defined as the private, public and non-profit organizations through which a jurisdiction will carry out the Consolidated Plan activities. City of Oak Ridge staff and officials, non-profit organizations, businesses, faith-based organizations, neighborhood groups and citizens are all vital partners in the housing and community development delivery system.

City of Oak Ridge Staff and Officials

The City of Oak Ridge uses a committee approach instead of assigning one staff member and/or one department with full responsibility for the CDBG program. The City's internal housing committee reviews all CDBG program activities and makes recommendations to the City Manager for his evaluation and prioritization to City Council.

The three-year CDBG Consolidated Plan 2014 – 2017 reflects input by city staff, officials, non-profit agencies and residents. The Community Development Specialist was tasked with the responsibility of conducting public meetings, collecting data, documenting the process and creating the Consolidated Plan.

Housing and Community Development Partners

The City of Oak Ridge uses CDBG entitlement funds, Emergency Solutions Grant (ESG) set-aside funds and city general fund dollars to assist with HUD national goals of the CDBG program. Leadership begins with the City's elected officials with the approval of the Consolidated Plan strategies and Annual Action Plan activities and contracts.

Effective program delivery would not be possible without the coordination of Federal, State and local partners. The City of Oak Ridge staff and officials rely on the area non-profit organizations, state and federal officials to provide insight with respect to the needs of our residents.

The non-profit organizations in our community are vital partners for the delivery of services and programs for Oak Ridge residents. The organizations work well together and are a valuable network of resources for Oak Ridge residents.

The Knoxville HUD office is also a valuable resource, providing advice and assistance with statutory and regulatory requirements, technical assistance and training for the CDBG entitlement program for City of Oak Ridge staff and officials. The HUD office also helps with marketing and outreach efforts to promote community development and affordable housing for the region.

City of Oak Ridge residents appreciate the dedicated service and support of their state and federal elected officials.

Summary of Institutional Delivery Structure

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
The City of Oak Ridge	Government	Grantee	City of Oak Ridge
Subrecipients of the City	Nonprofit organization	Subrecipient(s)	City of Oak Ridge

Table 49 - Institutional Delivery Structure Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

The City of Oak Ridge does not generate Program Income from CDBG grant.

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

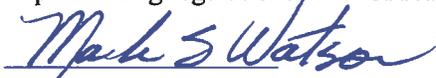
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

Date 6/9/2014

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____ , _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Mark S Watson 6/9/2014
Signature/Authorized Official Date

City Manager
Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Mark S Watson 6/9/2014
Signature/Authorized Official Date

City Manager
Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Mark S Watson
Signature/Authorized Official

6/9/2014
Date

City Manager
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

SUBSCRIBE TO

GRANT (CDBG) NOTICE OF PUBLIC HEARING NEEDS ASSESSMENT AND POTENTIAL PROJECTS for PROGRAM YEAR 2014 / FISCAL YEAR 2015 July 1, 2014 through June 30, 2015

The City of Oak Ridge will hold a public hearing to gain citizen input on needs and potential projects, which may be eligible under the CDBG Entitlement Program for the period of July 1, 2014 through June 30, 2015 (PY14/FY15). Suggestions for potential projects will be solicited, both verbally and in writing, from all interested parties. CDBG Entitlement funds for PY14/FY15 are expected to total approximately \$239,000.00. These funds must meet a HUD National Objective and benefit low/moderate income (LMI) individuals.

Citizens are encouraged to attend the public hearing and to provide suggestions to the City staff for uses of the CDBG Entitlement funds. If unable to attend the hearing, please submit written comments, no later than October 30, 2013.

The public hearing will begin at 12:00 p.m. (local time) on Tuesday, October 15, 2013 and will be held at:

- City of Oak Ridge
Municipal Building
Community Development Conference Room
200 S. Tulane Avenue
Oak Ridge, TN 37830

Written comments and questions should be directed to:
Athanasia Senecal Lewis
City of Oak Ridge
Community Development Department
P.O. Box 1
Oak Ridge, TN 37831-0001
865-425-3574
865-425-3426 fax
asenecal@oakridgetn.gov

In compliance with the American with Disabilities Act, individuals needing special accommodations (including auxiliary communicative aids and services) during this hearing should notify Athanasia Senecal Lewis, City of Oak Ridge, 865-425-3574, at least three days prior to the hearing.

NOTICE OF PUBLIC MEETINGS for the CONSOLIDATED HOUSING AND COMMUNITY DEVELOPMENT PLAN for the three-year period of JULY 1, 2014 through JUNE 30, 2017

The City of Oak Ridge, Tennessee, as a CDBG entitlement community, is required by the U.S. Department of Housing and Urban Development (HUD) to prepare a Consolidated Housing and Community Development Plan (Consolidated Plan) for either a three-year or a five-year period. The Consolidated Plan should be a collaborative process for a community to establish a unified vision for community development actions. The Consolidated Plan must address three statutory program goals defined by HUD.

- Decent Housing
- A Suitable Living Environment
- Expanded Economic Opportunities

To assist with the development of the Consolidated Plan, City staff will have two public meetings to provide the opportunity for exchange of information with the community concerning the CDBG entitlement program and the goals listed above. The two public meetings will be held at the City of Oak Ridge, Municipal Building in the Community Development Department Conference Room on the following dates:

- Wednesday October 9, 2013 at 5:00 p.m.
- Tuesday October 15, 2013 at 5:00 p.m.

Citizens are encouraged to attend the public meetings to provide information, ideas and suggestions to the City staff for incorporation into the Consolidated Plan. If you are unable to attend the meetings, please submit written comments, no later than Friday October 30, 2013 to:
Athanasia Senecal Lewis
Community Development Department
City of Oak Ridge
P.O. Box 1
Oak Ridge, TN 37831-0001
865-425-3574
865-425-3426 fax
asenecal@oakridgetn.gov

In compliance with the American with Disabilities Act, individuals needing special accommodations (including auxiliary communicative aids and services) during these meetings should notify Athanasia Senecal Lewis, City of Oak Ridge, at least three days prior to the meeting.

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Digital and/or Print Available, VISIT: www.oakridgeobserver.com
CALL: 865.483.1866 or

the un- William F. Henthorn, Jr., deceased, who died June 12, 2013, were issued to the undersigned by the Clerk and Master of the Chancery-Probate Court of Anderson County, Tennessee. All persons, resident and non-resident, having claims, mature or unmatured against the Estate are required to file the same in triplicate with the Clerk and Master of the above named Court on or before the earlier of the dates prescribed in (1) or (2) otherwise their claims will be forever barred.

months of the on (or) e case notice or al copy to credi- ty (60) if the creditor re- ceived an actual copy of this notice to credi- tors at least sixty (60) on (or) Sixty months from the date of the first publication (or al copy posting); or (B) Sixty (60) days from the date the creditor re- ceived an actual copy of the notice to credi- tors if the creditor re- ceived the copy of the notice less than sixty (60) days prior to the date that is four (4) months from the date of first publication (or posting) as described in (1) (A); or (2) Twelve (12) months from the decedent's date of death.

debted Estate forward per set- the un- ce. 2014 sney esenta- R. ASTER STEVE QUEENER R. Buck, CLERK AND MASTER BY: Angela Buck, Deputy Clerk sney sonville Estate of TN William F. Henthorn,

that on March 17, 2014 Letters in re- spect to the Estate of Marcella Rose Henn, deceased, who died January 23, 2014,

first publication (or date of death. posting as the case may be) of this notice All persons indebted if the creditor re- ceived an actual copy must come forward

Selvers Blvd. Clinton, TN 37716 Sai W. Varsalona Attorney

were issued to the un- dersigned by the Clerk and Master of the Chancery-Probate Court of Anderson



U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) Entitlement Program for the City of Oak Ridge, Tennessee

NOTICE OF 30-DAY PUBLIC COMMENT PERIOD for the City of Oak Ridge CDBG Consolidated Plan 2014-2017 including the Annual Action Plan for CDBG Program Year (PY) 2014/City of Oak Ridge Fiscal Year (FY) 2015

The Consolidated Plan for Housing and Community Development is a requirement of the 1990 National Affordable Housing Act and the Community Development Plan for the U.S. Department of Housing and Urban Development (HUD). Such a plan is required of entitlement communities, which receive Community Development Block Grant (CDBG) funds under HUD's housing and service programs related to the needs of low and moderate income persons. The development of the sixth City of Oak Ridge Consolidated Plan took place during the eight-month period of September 2014 through March 2014 and is to be utilized for the three-year period of July 1, 2014 through June 30, 2017 (CDBG Program Years 2014, 2015, and 2016).

The City of Oak Ridge CDBG Consolidated Plan 2014-2017 contains a Citizen Participation Plan as one of several major components, as well as the HUD required documentation and tables. The first Annual Action Plan of the Consolidated Plan is included in the document, which will be submitted to HUD at least forty-five days prior to the beginning of the entitlement community's fiscal year.

The Citizen Participation Plan included in the CDBG Consolidated Plan requires that interested parties have a 30-day period in which to review and comment upon the Consolidated Plan and the Annual Action Plan and that at least one public hearing be held. Any information received during the public comment period or at the public hearing will be attached to the submitted Consolidated Plan and Annual Action Plan.

The 30-day comment period for the City of Oak Ridge CDBG Consolidated Plan 2014-2017, including the PY2014/FY2015 City of Oak Ridge Annual Action Plan, will begin on Friday April 11, 2014 and end on Sunday, May 11, 2014. A public hearing is to be held on Thursday, April 24, 2014 at 5:00 p.m. at the Oak Ridge Municipal Building Training Room. Copies of the City of Oak Ridge CDBG Consolidated Plan 2014-2017, including the PY2014/FY2015 Annual Action Plan, will be available by April 11, 2014 online at www.oakridgetn.gov CDBG Icon, by contacting Athanasia Senecal Lewis, 865-425-3574, asenecal@oakridgetn.gov and for review at the following locations:

- City of Oak Ridge, Community Development Department, 200 S. Tulane Avenue
- City of Oak Ridge, City Clerk's Office, 200 S. Tulane Avenue
- Oak Ridge Public Library, 1400 Oak Ridge Turnpike

PROPOSED CDBG FUNDING ALLOCATION FOR PY2014/FY2015

PY2014/FY2015 Entitlement Grant	\$227,184.00
Estimated Prior Years Carry Over	<u>\$271,727.00</u>
Total Funds Available for Allocation	\$498,911.00

City of Oak Ridge Programs for PY2014/FY2015:

Scarboro Community Center Debt	\$ 95,000.00
Removal of Vacant Blighted Housing	\$ 363,000.00
Right-of-Way Infrastructure	\$ 35,000.00
Grant Administration	<u>\$ 5,911.00</u>

Total Funds Proposed for Allocation **\$498,911.00**